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ORIGINALLY FILED

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/014,934        |
| Filing Date            | October 29, 2001  |
| First Named Inventor   | Eduard K. de Jong |
| Group Art Unit         | 2151              |
| Examiner Name          |                   |
| Attorney Docket Number | SUN-P7007         |

Total Number of Pages in This Submission

8

**ENCLOSURES (check all that apply)**

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

**Combined Declaration and  
Power of Attorney**

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm  
or  
Individual name

John P. Schaub - Thelen Reid & Priest LLP

Signature

Date

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 3-12-02

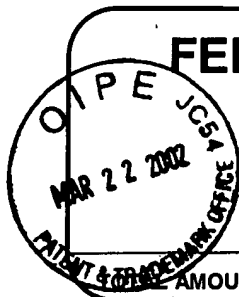
Typed or printed name

Diane Morse

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

|                      |                   |
|----------------------|-------------------|
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AMOUNT OF PAYMENT (\$) 2808

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 50-1698

Deposit Account Name Thelen Reid & Priest LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity (\$) | Small Fee Code | Entity (\$) | Fee Description        | Fee Paid |
|----------------|-------------|----------------|-------------|------------------------|----------|
| 101            | 740         | 201            | 370         | Utility filing fee     | 740      |
| 106            | 330         | 206            | 165         | Design filing fee      |          |
| 107            | 510         | 207            | 255         | Plant filing fee       |          |
| 108            | 740         | 208            | 370         | Reissue filing fee     |          |
| 114            | 160         | 214            | 80          | Provisional filing fee |          |

SUBTOTAL (1) (\$ 740)

### 2. EXTRA CLAIM FEES

|                    |    |        |   |    |   |    |   |      |
|--------------------|----|--------|---|----|---|----|---|------|
| Total Claims       | 25 | -20 ** | = | 5  | X | 18 | = | 90   |
| Independent Claims | 25 | -3 **  | = | 22 | X | 84 | = | 1848 |
| Multiple Dependent |    |        |   |    | X |    | = | 0    |

| Large Fee Code | Entity (\$) | Small Fee Code | Entity (\$) | Fee Description  |
|----------------|-------------|----------------|-------------|--|
| 103            | 18          | 203            | 9           | Claims in excess of 20                                     |
| 102            | 84          | 202            | 42          | Independent claims in excess of 3                          |
| 104            | 280         | 204            | 140         | Multiple dependent claim, if not paid                      |
| 109            | 84          | 209            | 42          | ** Reissue independent claims over original patent         |
| 110            | 18          | 210            | 9           | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 1938)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|----------|-----------------------|----------|-----------------------|--|----------|
| 105      | 130                   | 205      | 65                    | Surcharge - late filing fee or oath  | 130      |
| 127      | 50                    | 227      | 25                    | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139      | 130                   | 139      | 130                   | Non-English specification  |          |
| 147      | 2,520                 | 147      | 2,520                 | For filing a request for reexamination                                     |          |
| 112      | 920*                  | 112      | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1,840*                | 113      | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110                   | 215      | 55                    | Extension for reply within first month                                     |          |
| 116      | 400                   | 216      | 200                   | Extension for reply within second month                                    |          |
| 117      | 920                   | 217      | 460                   | Extension for reply within third month                                     |          |
| 118      | 1,440                 | 218      | 720                   | Extension for reply within fourth month                                    |          |
| 128      | 1,960                 | 228      | 980                   | Extension for reply within fifth month                                     |          |
| 119      | 320                   | 219      | 160                   | Notice of Appeal   |          |
| 120      | 320                   | 220      | 160                   | Filing a brief in support of an appeal                                     |          |
| 121      | 280                   | 221      | 140                   | Request for oral hearing   |          |
| 138      | 1,510                 | 138      | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140      | 110                   | 240      | 55                    | Petition to revive - unavoidable   |          |
| 141      | 1,280                 | 241      | 640                   | Petition to revive - unintentional   |          |
| 142      | 1,280                 | 242      | 640                   | Utility issue fee (or reissue)   |          |
| 143      | 460                   | 243      | 230                   | Design issue fee   |          |
| 144      | 620                   | 244      | 310                   | Plant issue fee  |          |
| 122      | 130                   | 122      | 130                   | Petitions to the Commissioner  |          |
| 123      | 50                    | 123      | 50                    | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 126      | 180                   | 126      | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40                    | 581      | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146      | 740                   | 246      | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149      | 740                   | 249      | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179      | 740                   | 279      | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169      | 900                   | 169      | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 130)

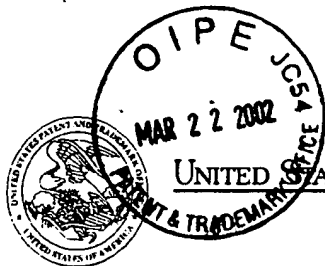
## SUBMITTED BY

Complete (if applicable)

|                   |                |                                 |        |           |              |
|-------------------|----------------|---------------------------------|--------|-----------|--------------|
| Name (Print/Type) | John P. Schaub | Registration No. Attorney/Agent | 42,125 | Telephone | 408-292-5800 |
| Signature         |                |                                 |        | Date      | 3/12/02      |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/014,934         | 10/29/2001          | Eduard de Jong        | SUN-P7007              |

CONFIRMATION NO. 1043

## FORMALITIES LETTER



\*OC00000007304793\*

David B. Ritchie  
Thelen Reid & Priest, LLP  
P.O. Box 640640  
San Jose, CA 95164-0640

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Date Mailed: 01/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/26/2002 BABRAHA1 00000089 10014934

FILED UNDER 37 CFR 1.53(b)

|           |            |
|-----------|------------|
| 01 FC:101 | 740.00 OP  |
| 02 FC:105 | 130.00 OP  |
| 03 FC:103 | 90.00 OP   |
| 04 FC:102 | 1848.00 OP |

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1938.
  - \$90 for 5 total claims over 20.
  - \$1848 for 22 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2808.

*A copy of this notice **MUST** be returned with the reply.*

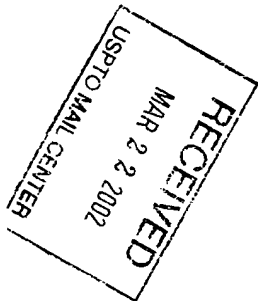
*Cy.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

THELEN REID & PRIEST, LLP

Attorneys at Law  
P. O. Box 640640  
San Jose, California 95164-0640



TO:

*Box Missing Parts*

Commissioner for Patents

Washington, DC 20231

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